

05/31/01
JC960 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.
50277-1653

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, SASHIKANTH CHANDRASEKARAN, et al., entitled METHOD AND APPARATUS FOR REDUCING LATENCY AND MESSAGE TRAFFIC DURING DATA AND LOCK TRANSFER IN A MULTI-NODE SYSTEM, for a(n):

- (X) Original Patent Application.
- () Continuing Application (prior application not abandoned):
- () Continuation () Divisional () Continuation-in-part (CIP)
of prior application No: _____ Filed on: _____
- () A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification 60 Total Pages; (X) Drawing(s); 23 Total Sheets; (X) Cover Sheet 1 Page
- (X) Oath or Declaration: 4 Pages
- (X) A Newly Executed Declaration:
- (X) Signed. () Unsigned. () Partially Signed.
- () A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
- () Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
- () Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- (X) Power of Attorney. (X) Return Receipt Postcard.
- () Associate Power of Attorney. (X) A Check in the amount of \$1,848.00 for the Filing Fee.
- () Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
- (X) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- (X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- () A Certified Copy of Priority Documents (if foreign priority is claimed).
- () Applicant(s) is entitled to small entity status. See 37 CFR 1.27.
- () Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
- (X) Recordation of Assignment Cover Sheet and executed Assignment.
- () Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	41	21	\$18.00	\$ 378.00
Independent Claims	12	9	\$80.00	\$ 720.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$710.00
Total Filing Fee				\$ 1,848.00

Charge \$ _____ to Deposit Account 50-1302 pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By: Brian D. Hickman
Brian D. Hickman, Reg. No. 35,894

Date: May 31, 2001

Correspondence Address:

Hickman Palermo Truong & Becker, LLP
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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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JC997 U.S. PTO
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FEE TRANSMITTAL for FY 2001				Complete if Known																																																																																																																																										
<i>Patent fees are subject to annual revision, Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28</i>				Application Number		Not yet available																																																																																																																																								
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				Examiner Name		Not yet available																																																																																																																																								
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																										
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<div>1. BASIC FILING FEE</div> <table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td>710.00</td></tr><tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="5" style="text-align: right;">SUBTOTAL (1)</td><td>(\$) 710.00</td></tr></tbody></table> <div>2. EXTRA CLAIM FEES</div> <table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th colspan="2">Total Claims</th><th colspan="2">Extra Claims</th><th colspan="2">Fee from Below</th><th colspan="2">Fee Paid</th></tr><tr><th>Large Entity</th><th>Small Entity</th><th>Large Entity</th><th>Small Entity</th><th>Large Entity</th><th>Small Entity</th><th>Large Entity</th><th>Small Entity</th></tr><tr><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th><th>Fee Code</th><th>Fee (\$)</th></tr></thead><tbody><tr><td>41</td><td>-20**=</td><td>21</td><td>X</td><td>18.00</td><td>=</td><td>378.00</td><td></td></tr><tr><td>12</td><td>-3**=</td><td>9</td><td>X</td><td>80.00</td><td>=</td><td>720.00</td><td></td></tr><tr><td colspan="5"></td><td colspan="3"></td></tr></tbody></table> <div>**or number previously paid, if greater; For Reissues, see below</div> <table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th>Large Entity Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee Code</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="5" style="text-align: right;">SUBTOTAL (2)</td><td>(\$) 1,098.00</td></tr></tbody></table>				Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	710.00	106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)					(\$) 710.00	Total Claims		Extra Claims		Fee from Below		Fee Paid		Large Entity	Small Entity	Large Entity	Small Entity	Large Entity	Small Entity	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Code	Fee (\$)	41	-20**=	21	X	18.00	=	378.00		12	-3**=	9	X	80.00	=	720.00										Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	270	204	135	Multiple dependent claim, if not paid		109	80	209	40	**Reissue independent claims over original patent		110	18	210	9	**Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)					(\$) 1,098.00	105 130		205 65		Surcharge - late filing fee or oath		
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138 1,510		138 1,510		Petition to institute a public use proceeding																																																																																																																																										
140 110		240 55		Petition to revive - unavoidable																																																																																																																																										
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Name (Print/Type)				Brian D. Hickman		Registration No. (Attorney/Agent)		35,894																																																																																																																																						
Signature						Telephone		(408) 414-1080																																																																																																																																						
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WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

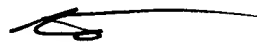
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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	SHASHIKANTH CHANDRASEKARAN, et al.
	Title	METHOD AND APPARATUS FOR REDUCING LATENCY AND MESSAGE TRAFFIC DURING DATA AND LOCK TRANSFER IN A MULTI-NODE SYSTEM
	Atty. Docket Number	50277-1653

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

May 31, 2001

Date



Signature

Brian D. Hickman, Reg. No. 35,894

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231**